

## APPENDIX A: PROOF DETAILS

This appendix contains proof details for the paper “Garbage-Collection Safety for Region-Based Type-Polymorphic Programs” (PLDI ’23) by Martin Elsman.

**PROPOSITION 5 (TYPE SUBSTITUTION CLOSEDNESS).** *Assume  $o$  is one of  $\mu$  or  $\pi$ . If  $\Omega + \Delta \vdash o : \varphi$  and  $\Omega \vdash S : \Delta$  then  $\Omega \vdash S(o) : \varphi$ .*

**PROOF.** By induction over the structure of  $o$ . The interesting case is the case for  $\mu = \alpha$  for some type variable  $\alpha$ . There are now two cases. We first consider the case where  $\alpha \in \text{dom}(S)$ . From the definition of coverage, we have  $\langle 1 \rangle \Omega \vdash S(\alpha) : \text{frev}(\Delta(\alpha))$  and  $\text{dom}(S) = \text{dom}(\Delta)$ . Moreover, from assumptions we have  $\Omega + \Delta \vdash \alpha : \varphi$ , thus, from the definition of containment, we have  $\text{frev}((\Omega + \Delta)(\alpha)) \subseteq \varphi$  and thus  $\langle 2 \rangle \text{frev}(\Delta(\alpha)) \subseteq \varphi$ . From the extensibility property of type containment and from  $\langle 1 \rangle$  and  $\langle 2 \rangle$ , we have  $\Omega \vdash S(\alpha) : \varphi$ , as required. For the second case where  $\alpha \notin \text{dom}(S)$ , we have  $S(\alpha) = \alpha$ . It follows from the definition of coverage that  $\alpha \notin \text{dom}(\Delta)$ , which leads us to conclude, based on the assumptions and the definition of type containment, that  $\Omega \vdash S(\alpha) : \varphi$ , as required.  $\square$

**PROPOSITION 6 (INSTANTIATION CLOSED UNDER REGION-EFFECT SUBSTITUTION).** *If  $S$  is a region-effect substitution and  $\Omega \vdash \sigma \geq \tau$  via  $S'$  then  $S(\Omega) \vdash S(\sigma) \geq S(\tau)$  via  $S''$ , where  $S'' = (S \circ S') \downarrow \text{dom}(S')$ .*

**PROOF.** We first consider the case where  $\sigma = \forall \Delta. \tau'$ . From the definition of instantiation, we have  $\langle 1 \rangle S'(\tau') = \tau$  and  $\langle 2 \rangle \Omega \vdash S' : \Delta$ , and, thus,  $\langle 3 \rangle \text{dom}(S') = \text{dom}(\Delta)$ . Because  $S$  is a region-effect substitution, we have  $\langle 4 \rangle S(\sigma) = \forall S(\Delta). S(\tau')$  and  $\langle 5 \rangle \text{dom}(\Delta) = \text{dom}(S(\Delta))$  and  $\langle 6 \rangle \text{dom}(\Delta) \cap \text{fv}(\text{rng}(S)) = \emptyset$ . Now, let  $S'' = ((S \circ S') \downarrow \text{dom}(S'))$ . It follows that we have  $\langle 7 \rangle \text{dom}(S'') = \text{dom}(S(\Delta))$ . We also have  $S(S'(\tau')) = S(\tau)$  from  $\langle 1 \rangle$  and  $\langle 8 \rangle S \circ S' = S'' \circ S$  because of  $\langle 6 \rangle$  and  $\langle 3 \rangle$ . It follows that we have  $\langle 9 \rangle S''(S(\tau')) = S(\tau)$ . We now need to show  $S(\Omega) \vdash S'' : S(\Delta)$ . From  $\langle 2 \rangle$  and the definition of substitution coverage, we have  $\langle 10 \rangle \Omega \vdash S'(\alpha) : \text{frev}(\Delta(\alpha))$ , for all  $\alpha \in \text{dom}(S')$ . From Proposition 4 and  $\langle 10 \rangle$ , we have  $S(\Omega) \vdash S(S'(\alpha)) : S(\text{frev}(\Delta(\alpha)))$  and thus, from  $\langle 8 \rangle$  and because  $\text{dom}(S') = \text{dom}(S'')$  follows from the definition of  $S''$ , we have  $\langle 11 \rangle S(\Omega) \vdash S''(\alpha) : \text{frev}(S(\Delta)(\alpha))$ , for all  $\alpha \in \text{dom}(S'')$ . It follows from  $\langle 11 \rangle$  that we have  $\langle 12 \rangle S(\Omega) \vdash S'' : S(\Delta)$ . Now, from the definition of instantiation and from  $\langle 9 \rangle$  and  $\langle 12 \rangle$ , we have  $S(\Omega) \vdash S(\sigma) \geq S(\tau)$  via  $S''$ , as required.  $\square$

**PROPOSITION 7 (INSTANTIATION CLOSED UNDER TYPE SUBSTITUTION).** *If  $\Omega + \Delta \vdash \sigma \geq \tau$  via  $S'$  and  $\Omega \vdash S : \Delta$  then  $\Omega \vdash S(\sigma) \geq S(\tau)$  via  $S''$ , where  $S'' = (S \circ S') \downarrow \text{dom}(S')$ .*

**PROOF.** Follows from the definition of instantiation.  $\square$

**PROPOSITION 9 (GC-SAFETY RELATION CLOSED UNDER TYPE SUBSTITUTION).** *Assume  $\Omega \vdash S : \Delta$ . If  $G(\Omega + \Delta, \Gamma, e, X, \pi)$  then  $G(\Omega, S(\Gamma), e, X, S(\pi))$ .*

**PROOF.** From assumptions and because  $\text{frv}(S(\pi)) \supseteq S(\text{frv}(\pi))$ , for any substitution  $S$ , we have, because value containment is closed under substitution and due to value containment extensibility, that  $\text{frv}(S(\pi)) \models_v S(e)$ . Because  $\text{fpv}(S(e)) = \text{fpv}(e)$ , it remains to be shown that

$$\forall y \in \text{fpv}(e) \setminus X. \Omega \vdash S(\Gamma(y)) : \text{frev}(S(\pi))$$

From assumptions, we have that for all  $y \in \text{fpv}(e) \setminus X$ ,

$$\Omega + \Delta \vdash \Gamma(y) : \text{frev}(\pi)$$

From Proposition 5 and assumptions, we have

$$\Omega \vdash S(\Gamma(y)) : S(\text{frev}(\pi))$$

Now, because  $\text{frev}(S(\pi)) \supseteq S(\text{frev}(\pi))$  and because of type-containment effect-extensibility, we have  $\Omega \vdash S(\Gamma(y)) : \text{frev}(S(\pi))$ , as required.  $\square$

**PROPOSITION 10** (GC-SAFETY RELATION CLOSED UNDER VALUE SUBSTITUTION). *If  $x \notin X$  and  $G(\Omega, \Gamma + \{x : \pi\}, e, X, \pi')$  and  $\text{frv}(\pi) \models v$  and  $\text{fpv}(v) = \emptyset$  then  $G(\Omega, \Gamma, e[v/x], X, \pi')$ .*

**PROOF.** From assumptions and (4), we have

$$\text{frv}(\pi') \models_v e \quad (5)$$

$$\forall y \in \text{fpv}(e) \setminus X. \Omega \vdash (\Gamma + \{x : \pi\})(y) : \text{frev}(\pi') \quad (6)$$

First, assume  $x \in \text{fpv}(e)$ . Because  $x \notin X$ , by choosing  $x$  for  $y$ , we have from (6) that  $\Omega \vdash \pi : \text{frev}(\pi')$ . It follows from Proposition 2 that  $\text{frev}(\pi) \subseteq \text{frev}(\pi')$  and, thus

$$\text{frv}(\pi) \subseteq \text{frv}(\pi') \quad (7)$$

It follows from assumption, (7), and the value containment extensibility property that we have

$$\text{frv}(\pi') \models v \quad (8)$$

Now, from (5), (8), and the value containment substitution property, we have

$$\text{frv}(\pi') \models_v e[v/x] \quad (9)$$

We also have from (6) and because  $\text{fpv}(v) = \emptyset$  that

$$\forall y \in \text{fpv}(e[v/x]) \setminus X. \Omega \vdash \Gamma(y) : \text{frev}(\pi') \quad (10)$$

From (4), (9), and (10), we have  $G(\Omega, \Gamma, e[v/x], X, \pi')$ , as required.  $\square$

The below detailed proofs follow closely the structure of the proofs provided in [Elsman 2003], but adjusted to treat type variable contexts properly.

**PROPOSITION 12** (TYPING CLOSED UNDER TYPE SUBSTITUTION). *If  $\Omega + \Delta, \Gamma \vdash e : \pi, \varphi$  and  $\Omega \vdash S : \Delta$  then  $\Omega, S(\Gamma) \vdash S(e) : S(\pi), S(\varphi)$ .*

**PROOF.** By induction on the derivation of  $\Omega + \Delta, \Gamma \vdash e : \pi, \varphi$ . The cases for integers (values), pairs (values and expressions), projections (expressions), and identifiers are trivial.

**CASE  $e = \langle \lambda x. e' \rangle^\rho$ .** From [TVLAM], we have  $\Omega + \Delta, \Gamma \vdash e : (\mu_1 \xrightarrow{\epsilon \cdot \varphi} \mu_2, \rho), \emptyset$  and  $\{\}, \{x : \mu_1\} \vdash e' : \mu_2, \varphi$  and  $\vdash \pi$ . Because  $\text{ftv}(\pi, e', \varphi) \cap \text{dom}(S) = \emptyset$ , we have  $\{\}, \{x : S(\mu_1)\} \vdash S(e') : S(\mu_2), S(\varphi)$  and  $\vdash S(\pi)$ . Moreover, because  $\text{frv}(\pi) = \text{frv}(S(\pi))$ , we have  $\text{frv}(S(\pi)) \models_v S(e')$ . We can now apply [TVLAM] to get  $\Omega, S(\Gamma) \vdash S(e) : S(\pi), \emptyset$ , as required.

**CASE  $e = e_1 e_2$ .** From [TEAPP], we have  $\Omega + \Delta, \Gamma \vdash e : \mu, \varphi_0 \cup \varphi_1 \cup \varphi_2 \cup \{\epsilon, \rho\}$  and  $\Omega + \Delta, \Gamma \vdash e_1 : (\mu' \xrightarrow{\epsilon \cdot \varphi_0} \mu, \rho), \varphi_1$  and  $\Omega + \Delta, \Gamma \vdash e_2 : \mu', \varphi_2$ . By induction we have  $\Omega, S(\Gamma) \vdash S(e_1) : (S(\mu') \xrightarrow{S(\epsilon \cdot \varphi_0)} S(\mu), S(\rho)), S(\varphi_1)$  and  $\Omega, S(\Gamma) \vdash S(e_2) : S(\mu'), S(\varphi_2)$ . It follows from the definition of type substitution that  $S(\epsilon \cdot \varphi_0) = \epsilon \cdot \varphi_0$ . We can now apply [TEAPP] to get  $\Omega, S(\Gamma) \vdash S(e) : S(\mu), S(\varphi)$ , as required.

**CASE  $e = \text{letregion } \rho \text{ in } e'$ .** From [TEREG], we have  $\Omega + \Delta, \Gamma \vdash e : \mu, \varphi \setminus \{\rho, \vec{\epsilon}\}$  and  $\Omega + \Delta, \Gamma \vdash e' : \mu, \varphi$  and  $\{\rho, \vec{\epsilon}\} \cap \text{frev}(\Gamma, \mu) = \emptyset$ . By renaming of bound names and because  $\rho$  and  $\vec{\epsilon}$  do not appear free in the concluding type judgment, we can assume  $\{\rho, \vec{\epsilon}\} \cap \text{frev}(S(\Gamma), S(\mu)) = \emptyset$ . By induction, we have  $\Omega, S(\Gamma) \vdash S(e') : S(\mu), S(\varphi)$ . We can now apply [TEREG], to get  $\Omega, S(\Gamma) \vdash \text{letregion } \rho \text{ in } S(e') : S(\mu), S(\varphi) \setminus \{\rho, \vec{\epsilon}\}$ . It follows trivially that we have  $\Omega, S(\Gamma) \vdash S(e) : S(\mu), S(\varphi \setminus \{\rho, \vec{\epsilon}\})$ , as required.

**CASE  $e = e' [\vec{\rho}]$  at  $\rho$ .** From [TERAPP], we have  $\Omega + \Delta, \Gamma \vdash e' : (\sigma, \rho'), \varphi$  and  $\Omega + \Delta \vdash \sigma \geq \tau$  via  $\vec{\rho}$  and  $\Omega \vdash \tau$ . By induction, we have  $\Omega, S(\Gamma) \vdash S(e') : (S(\sigma), S(\rho')), S(\varphi)$ . From Proposition 7, we have  $\Omega \vdash S(\sigma) \geq S(\tau)$  via  $\vec{\rho}$ , thus, from [TERAPP], we can conclude  $\Omega, S(\Gamma) \vdash S(e) : S(\tau, \rho), S(\varphi \cup \{\rho, \rho'\})$ , as required.

CASE Rule [TeSub]. We have  $\Omega + \Delta, \Gamma \vdash e : \pi, \varphi$  and  $\Omega + \Delta, \Gamma \vdash e : \pi, \varphi'$  and  $\varphi' \supseteq \varphi$ . By induction, we have  $\Omega, S(\Gamma) \vdash S(e) : S(\mu), S(\varphi)$ . From the definition of type substitution, it follows that  $\varphi' \supseteq \varphi$  implies  $S(\varphi') \supseteq S(\varphi)$ , thus, we can apply [TeSub] to get  $\Omega, S(\Gamma) \vdash S(e) : S(\mu), S(\varphi')$ , as required.  $\square$

**PROPOSITION 16 (VALUE SUBSTITUTION).** *If  $\Omega, \Gamma + \{x : \pi\} \vdash e : \pi', \varphi$  and  $\vdash v : \pi$  then  $\Omega, \Gamma \vdash e[v/x] : \pi', \varphi$ .*

**PROOF.** By induction on the derivation  $\Omega, \Gamma + \{x : \pi\} \vdash e : \pi', \varphi$ .

CASE  $e = y$ . From assumptions and [TeVar], we have  $\Omega, \Gamma + \{x : \pi\} \vdash y : \pi', \varphi$  and  $(\Gamma + \{x : \pi\})(y) = \pi'$  and  $\varphi = \emptyset$ . If  $y \neq x$ , we have  $e[v/x] = y$ , thus, because  $\Gamma(y) = \pi'$ , we can conclude from [TeVar] that  $\Omega, \Gamma \vdash e[v/x] : \pi', \varphi$ , as required. Otherwise,  $y = x$ , thus  $e[v/x] = v$  and  $\pi = \pi'$ . From assumptions, [TeVal], and [TeSub], we have  $\Omega, \Gamma \vdash e[v/x] : \pi', \varphi$ , as required.

CASE  $e = \lambda y. e'$  at  $\rho$ . From assumptions and [TeLam], we have  $\Omega, \Gamma + \{x : \pi, y : \mu\} \vdash e' : \mu', \varphi'$  and  $\varphi = \{\rho\}$  and  $\pi' = (\mu \xrightarrow{\epsilon, \varphi'} \mu', \rho)$ . By renaming of bound variables, we can assume  $x \neq y$ , thus, we can apply the induction hypothesis to get  $\Omega, \Gamma + \{y : \mu\} \vdash e'[v/x] : \mu', \varphi'$ . By applying [TeLam], we have  $\Omega, \Gamma \vdash \lambda y. e'[v/x] : \pi', \varphi$ , as required.

The remaining cases follow similarly.  $\square$

**PROPOSITION 17 (UNIQUE DECOMPOSITION).** *If  $\vdash e : \pi, \varphi$ , then either (1)  $e$  is a value, or (2) there exist a unique  $E_{\varphi'}$ ,  $e'$ , and  $\pi'$  such that  $e = E_{\varphi'}[e']$  and  $\vdash e' : \pi', \varphi \cup \varphi'$  and  $e'$  is an instruction.*

**PROOF.** By induction on the structure of  $e$ . Suppose  $e$  is not a value. There are 8 cases to consider. We proceed by case analysis.

CASE  $e = \text{letregion } \rho \text{ in } e_1$ . A derivation  $\vdash e : \pi, \varphi$  must end in a use of [TeReg] followed by a number of uses of [TeSub]. It follows that there exist  $\varphi_1$  and  $\varphi_2$  such that  $\varphi = \varphi_1 \setminus \{\rho\} \cup \varphi_2$  and  $\rho \notin \text{frv}(\pi)$  and  $\vdash e_1 : \pi, \varphi_1$ . By renaming of bound variables, we can assume  $\rho \notin \text{frv}(\varphi_2)$ . By induction, either  $e_1$  is a value or there exist a unique  $E'_{\varphi''}$ ,  $\iota_1$ , and  $\pi'_1$  such that  $e_1 = E'_{\varphi''}[\iota_1]$  and  $\vdash \iota_1 : \pi'_1, \varphi_1 \cup \varphi''$ . If  $e_1$  is not a value then we take  $E_{\varphi'} = \text{letregion } \rho \text{ in } E'_{\varphi''}$ ,  $\varphi' = \varphi'' \cup \{\rho\}$ ,  $\iota = \iota_1$ ,  $\pi' = \pi'_1$ , and from [TeSub], we have  $\vdash \iota_1 : \pi'_1, \varphi \cup \varphi'$ , because  $\varphi_1 \cup \varphi'' \subseteq \varphi \cup \varphi'$ . Otherwise,  $e_1 = v_1$  for some value  $v_1$ . Thus,  $E_{\varphi'} = [\cdot]$ ,  $\iota = \text{letregion } \rho \text{ in } v_1$ ,  $\pi' = \pi$ , and  $\varphi' = \emptyset$ .

CASE  $e = e_1 e_2$ . A derivation  $\vdash e : \pi, \varphi$  must end in a use of [TeApp], followed by a number of uses of [TeSub]. It follows that there exist  $\mu, \varphi_1, \varphi_2, \mu', \epsilon, \varphi_0$ , and  $\varphi_3$  such that  $\varphi = \varphi_0 \cup \varphi_1 \cup \varphi_2 \cup \{\epsilon, \rho\} \cup \varphi_3$  and  $\vdash e_1 : (\mu \xrightarrow{\epsilon, \varphi_0} \mu', \rho), \varphi_1$  and  $\vdash e_2 : \mu, \varphi_2$  and  $\pi = \mu'$ . By induction, either  $e_1$  is a value or else there exist  $E'_{\varphi'_1}$ ,  $\iota_1$ , and  $\pi'_1$  such that  $e_1 = E'_{\varphi'_1}[\iota_1]$  and  $\vdash \iota_1 : \pi'_1, \varphi_1 \cup \varphi'_1$ . If  $e_1$  is not a value, then we take  $E_{\varphi'} = E'_{\varphi'_1}$ ,  $e_2, \iota = \iota_1$ ,  $\pi' = \pi'_1$ , and because  $\varphi' = \varphi'_1$  and  $\varphi_1 \subseteq \varphi$ , we can apply [TeSub] to get  $\vdash \iota_1 : \pi'_1, \varphi \cup \varphi'$ . Otherwise,  $e_1 = v_1$  for some value  $v_1$ . We can now apply the induction hypothesis to get that either  $e_2$  is a value or else there exist  $E'_{\varphi'_2}$ ,  $\iota_2$ , and  $\pi'_2$  such that  $e_2 = E'_{\varphi'_2}[\iota_2]$  and  $\vdash \iota_2 : \pi'_2, \varphi_2 \cup \varphi'_2$ . If  $e_2$  is not a value, then we take  $E_{\varphi'} = v_1 E'_{\varphi'_2}$ ,  $\iota = \iota_2$ ,  $\pi' = \pi'_2$ , and because  $\varphi' = \varphi'_2$  and  $\varphi_2 \subseteq \varphi$ , we can apply [TeSub] to get  $\vdash \iota_2 : \pi'_2, \varphi \cup \varphi'$ . Otherwise  $e_2 = v_2$  for some value  $v_2$ . Because  $\vdash v_1 : (\mu \xrightarrow{\epsilon, \varphi_0} \mu', \rho), \varphi_1$ , we can conclude from inspecting the typing rules for values (canonical forms) that  $v_1 = \langle \lambda x. e' \rangle^\rho$ . Thus,  $E_{\varphi'} = [\cdot]$ ,  $\varphi' = \emptyset$ ,  $\iota = \langle \lambda x. e' \rangle^\rho v_2$ , and  $\pi' = \pi$ .

The remaining 6 cases follow similarly.  $\square$

**PROPOSITION 18 (TYPE PRESERVATION).** *If  $\vdash e : \pi, \varphi$  and  $e \xrightarrow{\epsilon, \varphi} e'$  then  $\vdash e' : \pi, \varphi$ .*

**PROOF.** By induction on the structure of  $e$ . We proceed by case analysis.

CASE  $e = \lambda x. e_0$  at  $\rho$ . From assumptions and [TeLam], we have  $\pi = (\mu_1 \xrightarrow{\epsilon, \varphi_0} \mu_2, \rho)$  and  $\{x : \mu_1\} \vdash e_0 : \mu_2, \varphi_0$  and  $\vdash \pi$  and  $G(\{\}, \{\}, e_0, \{x\}, \pi)$  and  $\varphi = \{\rho\}$ . From [Lam], we have  $\rho \in \varphi$  and

$e' = \langle \lambda x. e_0 \rangle^\rho$ . From definition (4), we have  $\text{frv}(\pi) \models_v e_0$ . Now, by use of [TVLAM] and [TESUB], we have  $\vdash e' : \pi, \varphi$ , as required.

**CASE**  $e = \text{letregion } \rho \text{ in } v$ . From assumptions and from [TEREG], there exist  $\varphi'$  and  $\mu$  such that  $\varphi = \varphi' \setminus \{\rho\}$  and  $\vdash v : \mu, \varphi'$  and  $\pi = \mu$ . It follows from [TEVAL] that  $\vdash v : \mu, \emptyset$ , thus, from [REG] and [TESUB], we have  $\vdash e' : \pi, \varphi$ , as required.

**CASE**  $e = \langle \lambda x. e_1 \rangle^\rho v$ . From assumptions, [TEAPP], and [TVLAM], there exist  $\mu, \mu_1, \epsilon$ , and  $\varphi_0$  such that  $\pi = \mu$  and  $\{x : \mu_1\} \vdash e_1 : \mu, \varphi_0$  and  $\vdash v : \mu_1, \varphi_1$ , and  $\varphi = \varphi_0 \cup \{\epsilon, \rho\}$ . From [TEVAL], we have  $\vdash v : \mu_1, \emptyset$ . Thus, from Proposition 16, we have  $\vdash e_1[v/x] : \mu, \varphi_0$ . Now, because  $\varphi \supseteq \varphi_0$ , we can apply [TESUB] to get  $\vdash e' : \pi, \varphi$ , as required.

**CASE**  $e = \langle \text{fun } f [\vec{\rho}] x = e_1 \rangle^\rho [\vec{\rho}']$  at  $\rho'$ . There are two possibilities. Either [TVFUN] applies or [TVREC] applies.

**case** Rule [TVFUN]. From assumptions, [TERAPP], and [TVFUN], we have  $\pi = (\tau, \rho')$ ,  $\varphi = \{\rho, \rho'\}$ ,  $v = \langle \text{fun } f [\vec{\rho}] x = e_1 \rangle^\rho$  and  $\sigma = \forall \vec{\rho} \vec{\epsilon} \Delta. \mu_1 \xrightarrow{\epsilon. \varphi_0} \mu_2$ , and

$$\vdash v : (\sigma, \rho) \quad (11)$$

$$\vdash \sigma \geq \tau \text{ via } \vec{\rho}' \quad (12)$$

$$\{\}, \{x : \mu_1\} \vdash e_1 : \mu_2, \varphi_0 \quad (13)$$

From (13), we have  $f \notin \text{fpv}(e_1)$ , thus, we have

$$\{\}, \{x : \mu_1\} \vdash e_1[v/f] : \mu_2, \varphi_0 \quad (14)$$

From the definition of instantiation and from (12), there exists a substitution  $S = (S^t, [\vec{\rho}'/\vec{\rho}], S^e)$  such that

$$S(\mu_1 \xrightarrow{\epsilon. \varphi_0} \mu_2) = \tau \quad (15)$$

$$\{\} \vdash S^t : \Delta \quad (16)$$

From (14) and [TELAM], we have

$$\vdash \lambda x. e_1[v/f] \text{ at } \rho' : (\mu_1 \xrightarrow{\epsilon. \varphi_0} \mu_2, \rho'), \{\rho'\} \quad (17)$$

By renaming of bound names, we can assume  $S(v) = v$  and  $S(\rho') = \rho'$ , thus, from (15), (16), (17), Proposition 11, and Proposition 12, we have  $\vdash \lambda x. e_1[\vec{\rho}'/\vec{\rho}][v/f] \text{ at } \rho' : (\tau, \rho'), \{\rho'\}$ . We can now apply [TESUB] to get  $\vdash e' : \pi, \varphi$ , as required.

**case** Rule [TVREC]. From assumptions, [TERAPP], and [TVREC], we have  $\pi = (\tau, \rho')$ ,  $\varphi = \{\rho, \rho'\}$ ,  $v = \langle \text{fun } f [\vec{\rho}] x = e_1 \rangle^\rho$  and  $\sigma = \forall \vec{\rho} \vec{\epsilon} \Delta. \mu_1 \xrightarrow{\epsilon. \varphi_0} \mu_2$ , and

$$\vdash v : (\sigma, \rho) \quad (18)$$

$$\sigma' = \forall \vec{\rho} \vec{\epsilon} \Delta. \mu_1 \xrightarrow{\epsilon. \varphi_0} \mu_2 \quad (19)$$

$$\vdash \sigma \geq \tau \text{ via } \vec{\rho}' \quad (20)$$

$$\{f : (\sigma', \rho)\}, \{x : \mu_1\} \vdash e_1 : \mu_2, \varphi_0 \quad (21)$$

From (18), (19), and [TVREC], we have

$$\vdash v : (\sigma', \rho) \quad (22)$$

From Proposition 16 and (22) and (21), we have

$$\{x : \mu_1\} \vdash e_1[v/f] : \mu_2, \varphi_0 \quad (23)$$

From the definition of instantiation and from (20), there exists a substitution  $S = (S^t, [\vec{\rho}'/\vec{\rho}], S^e)$  such that

$$S(\mu_1 \xrightarrow{\epsilon \cdot \varphi_0} \mu_2) = \tau \quad (24)$$

$$\{\} \vdash S^t : \Delta \quad (25)$$

From (23) and [TE<sub>LAM</sub>], we have

$$\vdash \lambda x. e_1[v/f] \text{ at } \rho' : (\mu_1 \xrightarrow{\epsilon \cdot \varphi_0} \mu_2, \rho'), \{\rho'\} \quad (26)$$

By renaming of bound names, we can assume  $S(v) = v$  and  $S(\rho') = \rho'$ , thus, from (24), (25), (26), Proposition 11, and Proposition 12, we have  $\vdash \lambda x. e_1[\vec{\rho}'/\vec{\rho}][v/f] \text{ at } \rho' : (\tau, \rho'), \{\rho'\}$ . We can now apply [TE<sub>SUB</sub>] to get  $\vdash e' : \pi, \varphi$ , as required.

CASE  $e = \#1 (v_1, v_2)$ . From assumptions, [TE<sub>SEL</sub>], and [TV<sub>PAIR</sub>], we have  $\vdash v_1 : \mu, \emptyset$ . We can now apply [TE<sub>SUB</sub>] to get  $\vdash v_1 : \mu, \varphi$ , as required.

CASE  $e = E_{\varphi'}[e'']$ . We have  $e'' \xrightarrow{\varphi \cup \varphi'} e'''$  and  $\varphi \cap \varphi' = \emptyset$  and  $e' = E_{\varphi'}[e''']$ . We now proceed by case analysis on the structure of  $E_{\varphi'}$ .

**case**  $E_{\varphi'}[e''] = (e'', e_2)$  at  $\rho$ . We have  $\varphi' = \emptyset$ . From assumptions and [TE<sub>PAIR</sub>] we have  $\vdash e'' : \mu_1, \varphi_1, \vdash e_2 : \mu_2, \varphi_2, \mu = (\mu_1 \times \mu_2, \rho)$ , and  $\varphi = \varphi_1 \cup \varphi_2 \cup \{\rho\}$ . By applying [TE<sub>SUB</sub>], we have  $\vdash e'' : \mu_1, \varphi$ . We can now apply the induction hypothesis to get  $\vdash e''' : \mu_1, \varphi$ . By applying [TE<sub>PAIR</sub>], we have  $\vdash E_{\varphi'}[e'''] : \mu, \varphi$ , as required.

**case**  $E_{\varphi'}[e''] = (v_1, e'')$  at  $\rho$ . We have  $\varphi' = \emptyset$ . From assumptions and [TE<sub>PAIR</sub>] we have  $\vdash v_1 : \mu_1, \varphi_1, \vdash e'' : \mu_2, \varphi_2, \mu = (\mu_1 \times \mu_2, \rho)$ , and  $\varphi = \varphi_1 \cup \varphi_2 \cup \{\rho\}$ . By applying [TE<sub>SUB</sub>], we have  $\vdash e'' : \mu_2, \varphi$ . We can now apply the induction hypothesis to get  $\vdash e''' : \mu_2, \varphi$ . By applying [TE<sub>PAIR</sub>], we have  $\vdash E_{\varphi'}[e'''] : \mu, \varphi$ , as required.

**case**  $E_{\varphi'}[e''] = \#i e'', i \in \{1, 2\}$ . We have  $\varphi' = \emptyset$ . From assumptions and [TE<sub>SEL</sub>], we have  $\vdash e'' : (\mu_1 \times \mu_2, \rho), \varphi', \mu = \mu_i$  and  $\varphi = \varphi' \cup \{\rho\}$ . By applying [TE<sub>SUB</sub>], we have  $\vdash e'' : (\mu_1 \times \mu_2, \rho), \varphi$ , thus, we can apply the induction hypothesis to get  $\vdash e''' : (\mu_1 \times \mu_2, \rho), \varphi$ . We can now apply [TE<sub>SEL</sub>] to get  $\vdash E_{\varphi'}[e'''] : \mu, \varphi$ , as required.

**case**  $E_{\varphi'}[e''] = \text{let } x = e'' \text{ in } e_2$ . We have  $\varphi' = \emptyset$ . From assumptions and [TE<sub>LET</sub>], there exists  $\pi$  such that  $\vdash e'' : \pi, \varphi_1, \{x : \pi\} \vdash e_2 : \mu, \varphi_2$ , and  $\varphi = \varphi_1 \cup \varphi_2$ . Applying [TE<sub>SUB</sub>], we have  $\vdash e'' : \pi, \varphi$ . By induction, we have  $\vdash e''' : \pi, \varphi$ . We can now apply [TE<sub>LET</sub>] to get  $\vdash E_{\varphi'}[e'''] : \mu, \varphi$ , as required.

**case**  $E_{\varphi'}[e''] = e'' e_2$ . From assumptions and [TE<sub>APP</sub>], it follows that there exist  $\epsilon, \varphi_0, \varphi_1, \varphi_2$ , and  $\rho$  such that  $\vdash e'' : (\mu_2 \xrightarrow{\epsilon \cdot \varphi_0} \mu, \rho), \varphi_1, \vdash e_2 : \mu_2, \varphi_2$ , and  $\varphi = \varphi_0 \cup \varphi_1 \cup \varphi_2 \cup \{\epsilon, \rho\}$ . From [TE<sub>SUB</sub>], we have  $\vdash e'' : (\mu_2 \xrightarrow{\epsilon \cdot \varphi_0} \mu, \rho), \varphi$ , thus, by induction, we have  $\vdash e''' : (\mu_2 \xrightarrow{\epsilon \cdot \varphi_0} \mu, \rho), \varphi$ . We can now apply [TE<sub>APP</sub>] to get  $\vdash E_{\varphi'}[e'''] : \mu, \varphi$ , as required.

**case**  $E_{\varphi'}[e''] = v e''$ . As above.

**case**  $E_{\varphi'}[e''] = e'' [\vec{\rho}]$  at  $\rho$ . As above.

**case**  $E_{\varphi'}[e''] = \text{letregion } \rho \text{ in } e''$ . We have  $\varphi' = \{\rho\}$ . From assumptions and from [TE<sub>REG</sub>], there exist  $\varphi''$  and  $\vec{\epsilon}$  such that  $\varphi = \varphi'' \setminus \{\rho, \vec{\epsilon}\}$ , and  $\vdash e'' : \mu, \varphi''$ . From [TE<sub>SUB</sub>], we have  $\vdash e'' : \mu, \varphi \cup \varphi'$ . We can now apply the induction hypothesis to get  $\vdash e''' : \mu, \varphi \cup \varphi'$ . Now, because  $\varphi = (\varphi \cup \varphi') \setminus \{\rho, \vec{\epsilon}\}$ , we can apply [TE<sub>REG</sub>] to get  $\vdash E_{\varphi'}[e'''] : \mu, \varphi$ , as required.

The remaining cases follow similarly.  $\square$

**PROPOSITION 19. (PROGRESS).** *If  $\vdash e : \pi, \varphi$  then either  $e$  is a value or  $e \xrightarrow{\varphi} e'$ , for some  $e'$ .*

**PROOF.** If  $e$  is not a value, then by Proposition 17 there exist a unique  $E_{\varphi'}$ ,  $\iota$ , and  $\pi'$  such that  $e = E_{\varphi'}[\iota]$  and  $\vdash \iota : \pi', \varphi \cup \varphi'$ . We argue that  $\iota \xrightarrow{\varphi \cup \varphi'} e_2$ , for some  $e_2$ , so that  $E_{\varphi'}[\iota] \xrightarrow{\varphi} E_{\varphi'}[e_2]$  follows from [CT<sub>X</sub>]. We now consider all cases where  $\iota$  could possibly be stuck.

CASE  $\iota = \lambda x.e'_1$  at  $\rho$ . We have  $\vdash \lambda x.e'_1$  at  $\rho : \pi', \varphi \cup \varphi'$ . This derivation must be an application of [TELAM] followed by a number of applications of [TESUB]. Thus, we have  $\rho \in \varphi \cup \varphi'$ . It follows that we can apply [LAM] to get  $e_2 = \langle \lambda x.e'_1 \rangle^\rho$ .

CASE  $\iota = \langle \lambda x.e_x \rangle^\rho v$ . We have  $\vdash \langle \lambda x.e_x \rangle^\rho v : \pi', \varphi \cup \varphi'$ . This derivation must end in an application of [TEAPP] followed by a number of applications of [TESUB]. Thus, by applying [TEVAL], there exist  $\mu, \mu', \epsilon$ , and  $\varphi_0$  such that  $\vdash \langle \lambda x.e_x \rangle^\rho : (\mu \xrightarrow{\epsilon, \varphi_0} \mu', \rho), \emptyset$  and  $\vdash v : \mu, \emptyset$  and  $\pi' = \mu'$  and  $\varphi_0 \cup \{\epsilon, \rho\} \subseteq \varphi \cup \varphi'$ . Now, because  $\rho \in \varphi \cup \varphi'$ , we can apply [APP] to get  $e_2 = e_x[v/x]$ .

CASE  $\iota = \langle \text{fun } f [\vec{\rho}] x = e_0 \rangle^{\rho'} [\vec{\rho}']$  at  $\rho$ . The derivation  $\vdash \iota : \pi', \varphi \cup \varphi'$  must end in an application of [TERAPP] followed by a number of applications of [TESUB], thus, from [TEVAL], there exist  $\sigma$  and  $\tau'$  such that  $\pi' = (\tau', \rho)$  and

$$\vdash \langle \text{fun } f [\vec{\rho}] x = e_0 \rangle^{\rho'} : (\sigma, \rho'), \emptyset \quad (27)$$

$$\{\rho, \rho'\} \subseteq \varphi \cup \varphi' \quad (28)$$

Because  $\rho' \in \varphi \cup \varphi'$  follows from (28), we can apply [RAPP] to get  $e_2 = \lambda x.e_0[\vec{\rho}'/\vec{\rho}][v/f]$  at  $\rho$ , where  $v = \langle \text{fun } f [\vec{\rho}] x = e_0 \rangle^{\rho'}$ .

CASE  $\iota = \text{letregion } \rho \text{ in } v$ . It follows immediately from [REG] that  $e_2 = v$ .

The remaining cases follow similarly.  $\square$

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